

CMP448: Algorithms



Lecture 02: Asymptotic Analysis and Recurrences

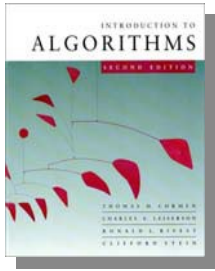
Mohamed Alaa El-Dien Aly
Computer Engineering Department
Cairo University
Spring 2013

Agenda

- Asymptotic Notation
 - O -, Ω -, and Θ -notation
- Recurrences
 - Substitution Method
 - Recursion Tree
 - Master Theorem
- Divide-and-Conquer Examples

Acknowledgment

A lot of slides adapted from the slides of Erik Demaine and Charles Leiserson

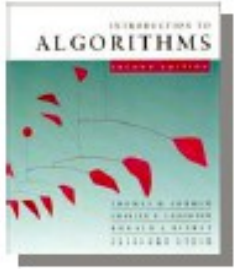


Asymptotic notation

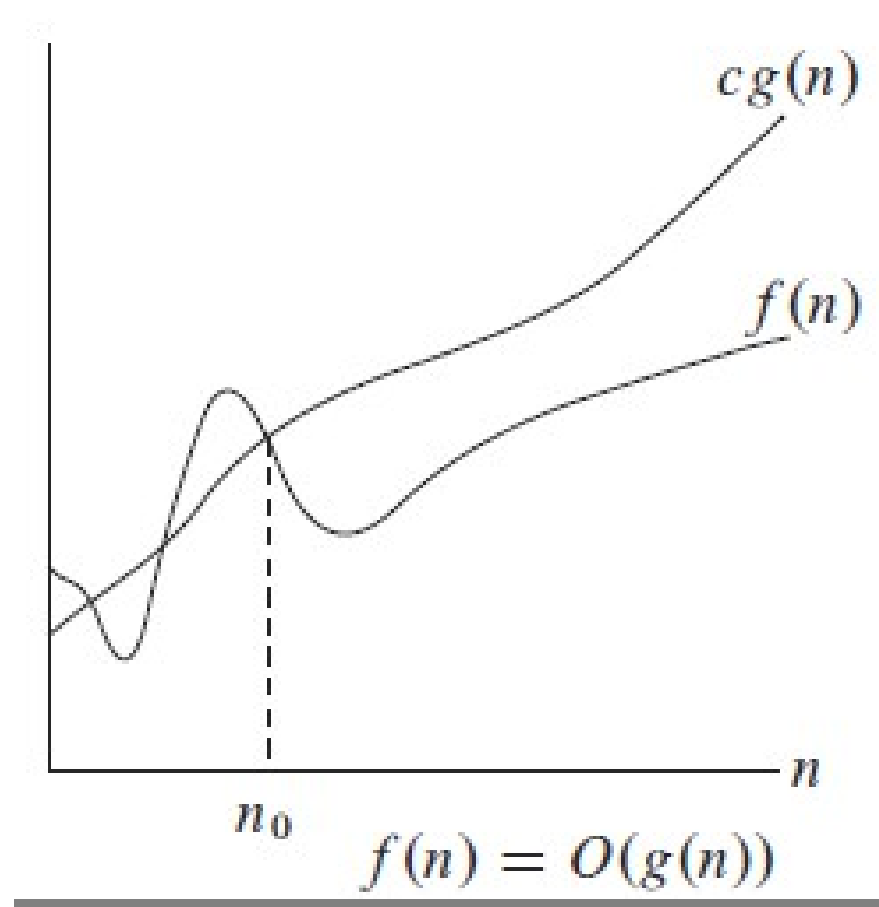
O -notation (upper bounds):

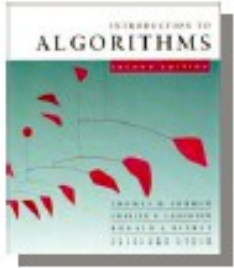
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EXAMPLE: $2n^2 = O(n^3)$ ($c = 1$, $n_0 = 2$)

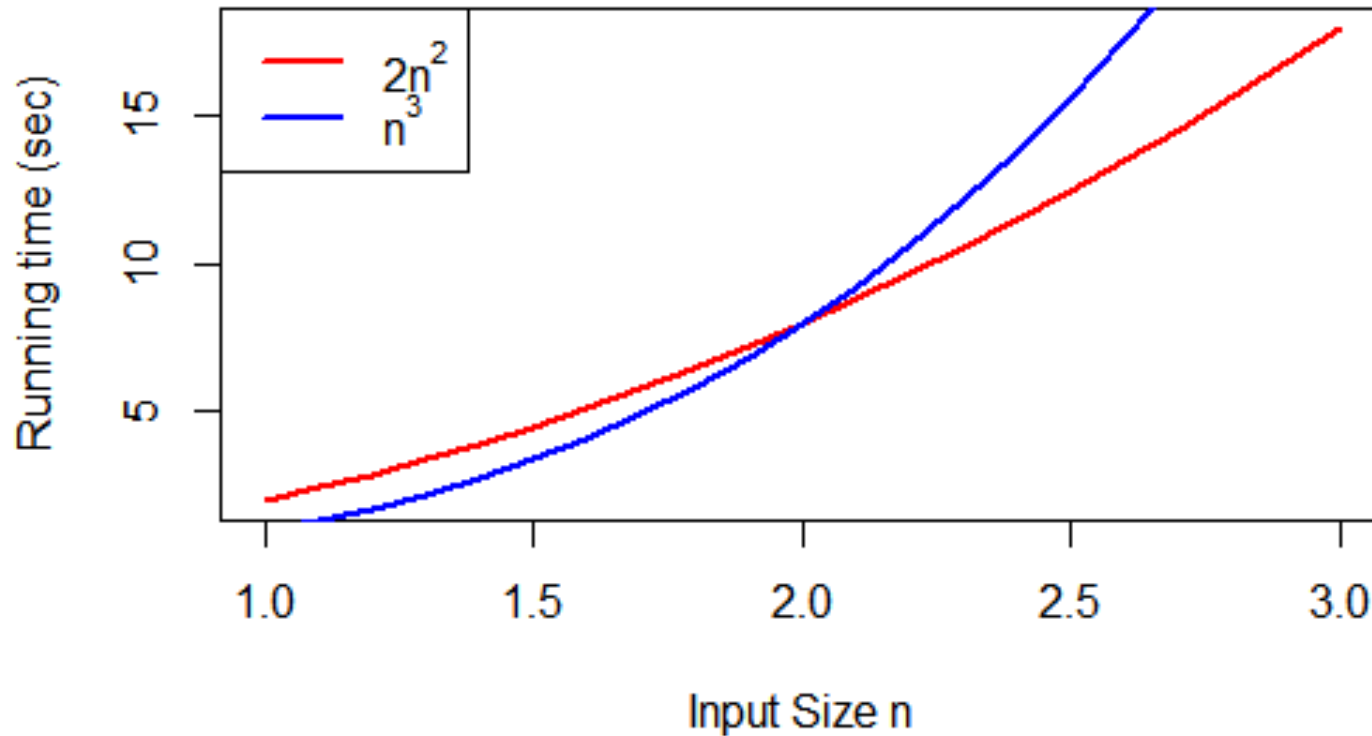


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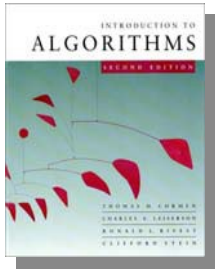




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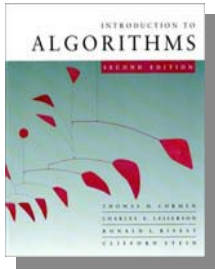
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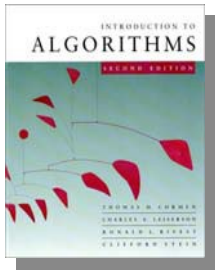
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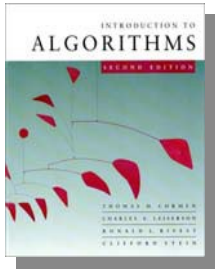
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*funny, “one-way”
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Set definition of O-notation

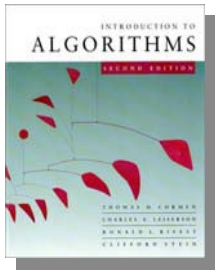
$O(g(n)) = \{ f(n) : \text{there exist constants } c > 0, n_0 > 0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0 \}$



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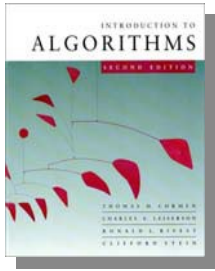
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EXAMPLE: $2n^2 \in O(n^3)$



Macro substitution

Convention: A set in a formula represents an anonymous function in the set.



Macro substitution

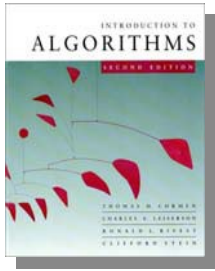
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EXAMPLE: $f(n) = n^3 + O(n^2)$

means

$$f(n) = n^3 + h(n)$$

for some $h(n) \in O(n^2)$.



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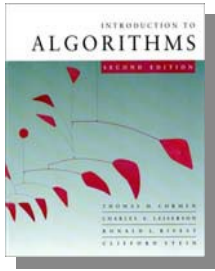
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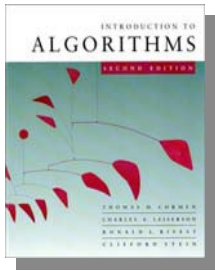
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Ω -notation (lower bounds)

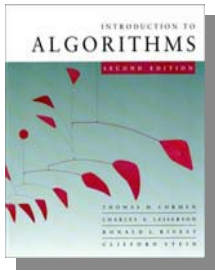
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$\Omega(g(n)) = \{ f(n) : \text{there exist constants } c > 0, n_0 > 0 \text{ such that } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0 \}$

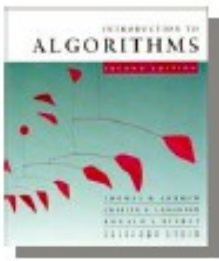


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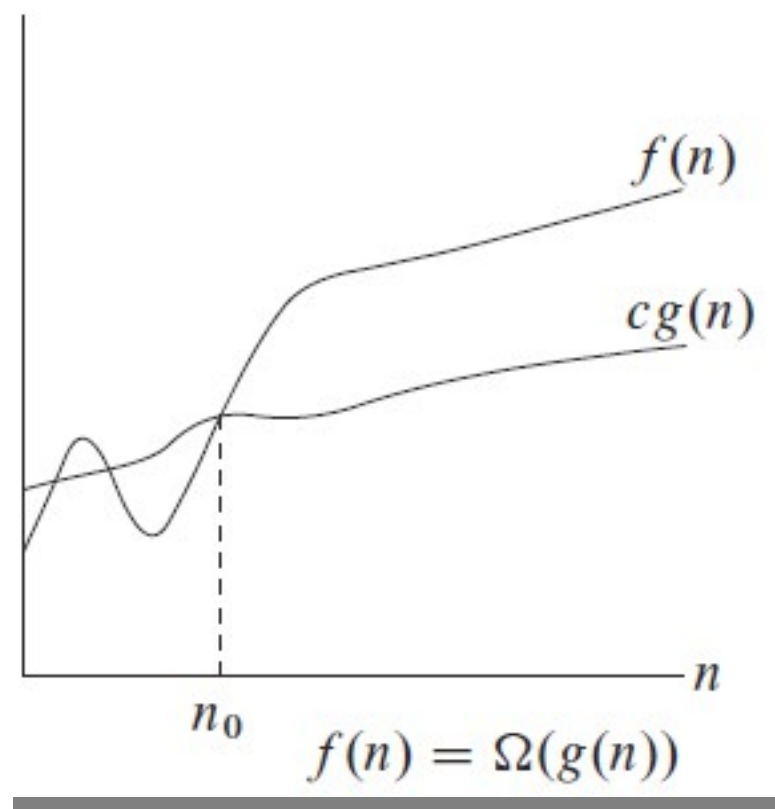
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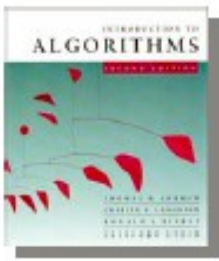
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EXAMPLE: $\sqrt{n} = \Omega(\lg n)$ ($c = 1, n_0 = 16$)

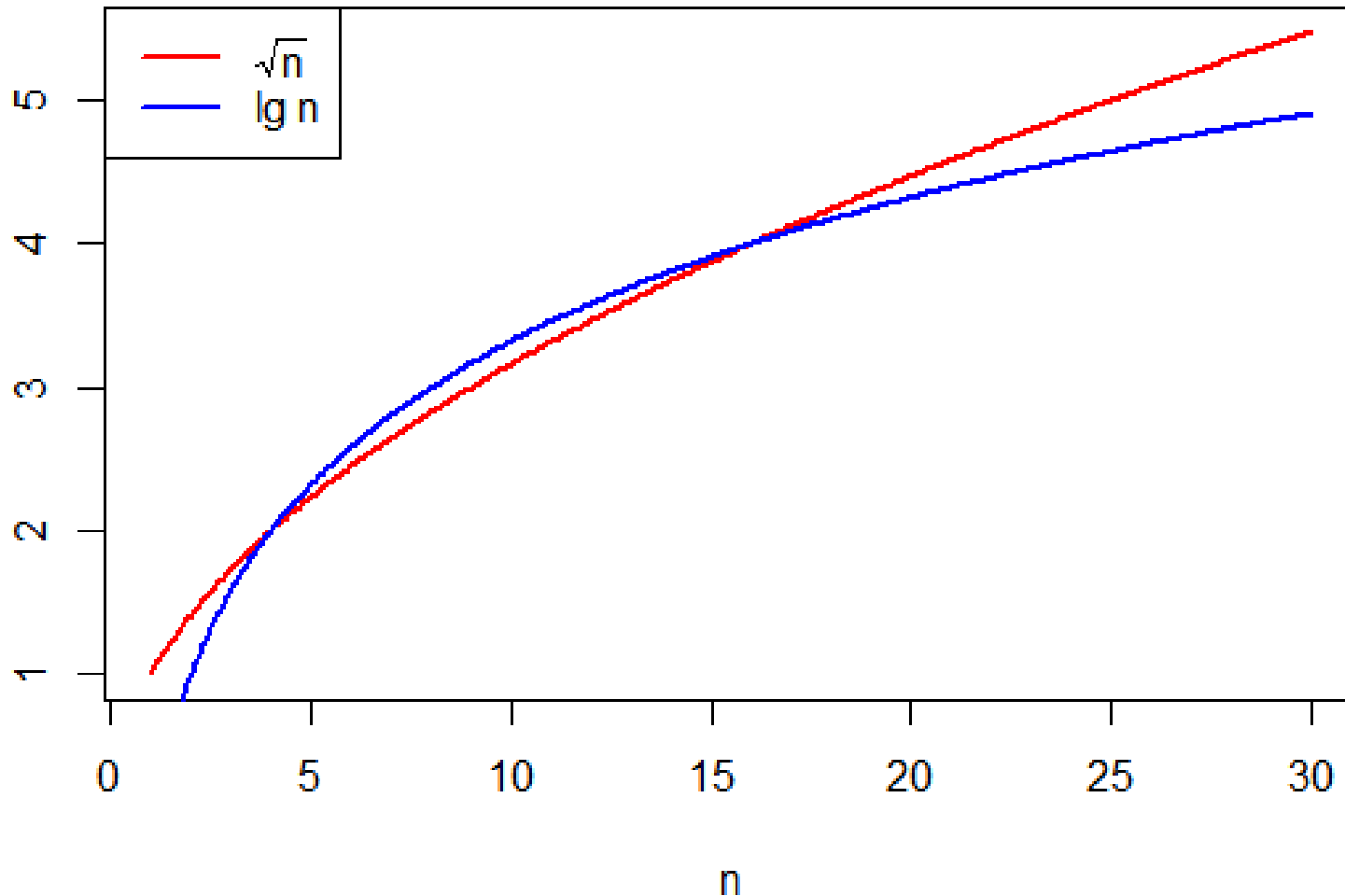


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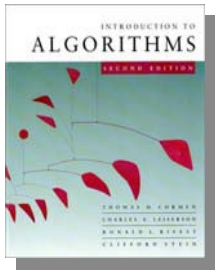




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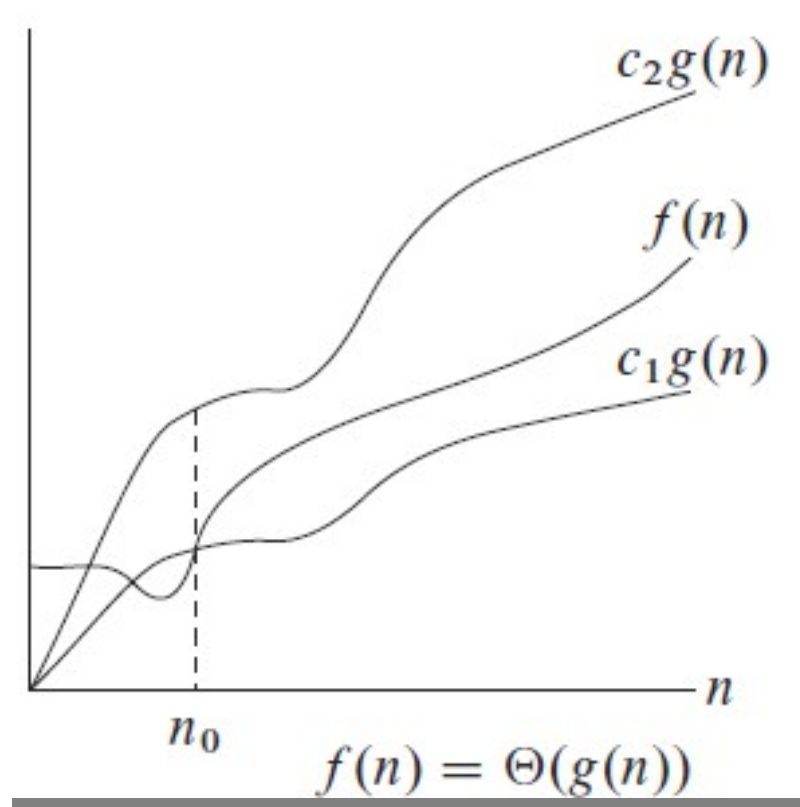


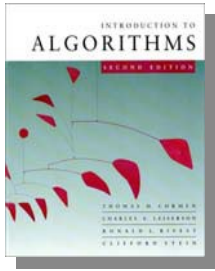
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Θ -notation (tight bounds)

$$\Theta(g(n)) = O(g(n)) \cap \Omega(g(n))$$





Θ -notation (tight bounds)

$$\Theta(g(n)) = O(g(n)) \cap \Omega(g(n))$$

EXAMPLE: $\frac{1}{2}n^2 - 2n = \Theta(n^2)$

Solving Recurrences

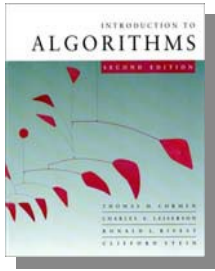
- For Merge Sort, we found that the running time was described by the recurrence

$$T(n) = 2T(n/2) + cn$$

which has a solution

$$T(n) = \Theta(n \lg n)$$

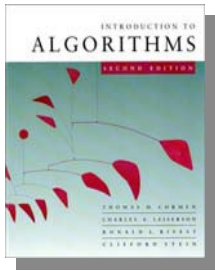
- In general, we need to solve recurrence to analyze Divide-and-Conquer algorithms



Substitution method

The most general method:

- 1. *Guess*** the form of the solution.
- 2. *Verify*** by induction.
- 3. *Solve*** for constants.



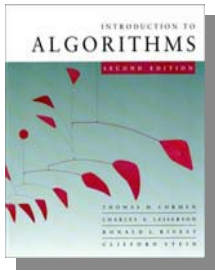
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EXAMPLE: $T(n) = 4T(n/2) + n$

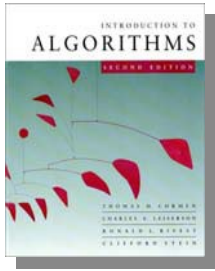
- [Assume that $T(1) = \Theta(1)$.]
- Guess $O(n^3)$. (Prove O and Ω separately.)
- Assume that $T(k) \leq ck^3$ for $k < n$.
- Prove $T(n) \leq cn^3$ by induction.



Example of substitution

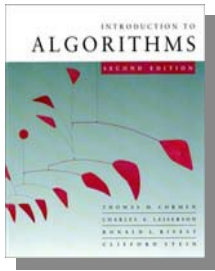
$$\begin{aligned}T(n) &= 4T(n/2) + n \\ &\leq 4c(n/2)^3 + n \\ &= (c/2)n^3 + n \\ &= cn^3 - ((c/2)n^3 - n) \leftarrow \text{desired} - \text{residual} \\ &\leq cn^3 \leftarrow \text{desired}\end{aligned}$$

whenever $(c/2)n^3 - n \geq 0$, for example,
if $c \geq 2$ and $n \geq 1$. \swarrow
residual



Example (continued)

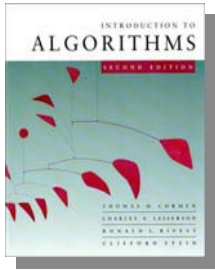
- We must also handle the initial conditions, that is, ground the induction with base cases.
- **Base:** $T(n) = \Theta(1)$ for all $n < n_0$, where n_0 is a suitable constant.
- For $1 \leq n < n_0$, we have “ $\Theta(1)$ ” $\leq cn^3$, if we pick c big enough.



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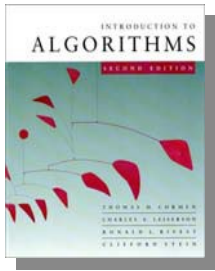
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This bound is not tight!



A tighter upper bound?

We shall prove that $T(n) = O(n^2)$.

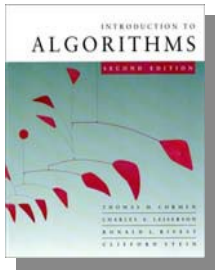


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Assume that $T(k) \leq ck^2$ for $k < n$:

$$\begin{aligned} T(n) &= 4T(n/2) + n \\ &\leq 4c(n/2)^2 + n \\ &= cn^2 + n \\ &= O(n^2) \end{aligned}$$



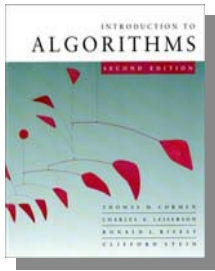
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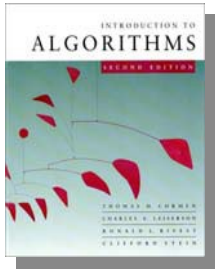
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~~$= O(n^2)$~~ **Wrong!** We must prove the I.H.

$$= cn^2 - (-n) \quad [\text{desired} - \text{residual}]$$

$\leq cn^2$ for **no** choice of $c > 0$. Lose!

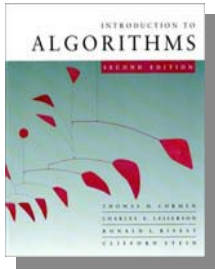


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IDEA: Strengthen the inductive hypothesis.

- *Subtract* a low-order term.

Inductive hypothesis: $T(k) \leq c_1 k^2 - c_2 k$ for $k < n$.



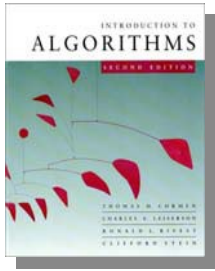
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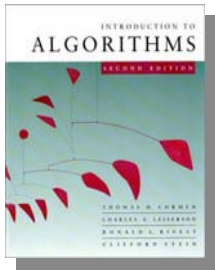
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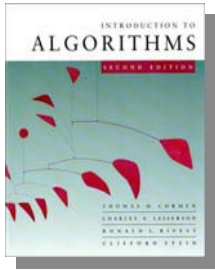
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Pick c_1 big enough to handle the initial conditions.



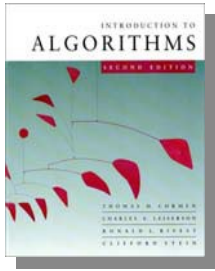
Recursion-tree method

- A recursion tree models the costs (time) of a recursive execution of an algorithm.
- The recursion-tree method can be unreliable, just like any method that uses ellipses (...).
- The recursion-tree method promotes intuition, however.
- The recursion tree method is good for generating guesses for the substitution method.



Example of recursion tree

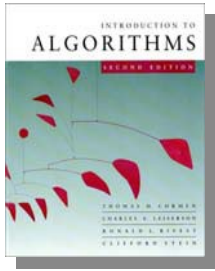
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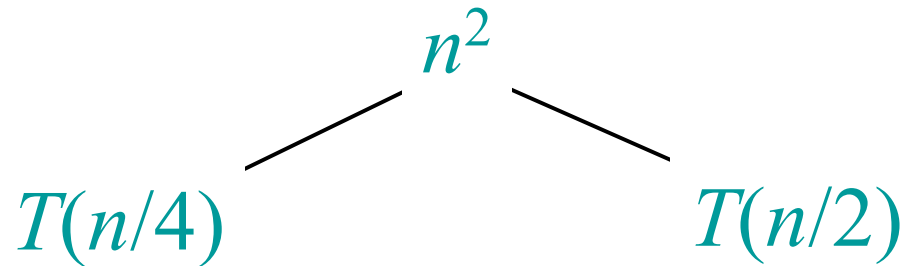
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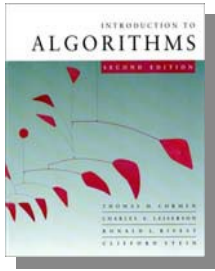
$$T(n)$$



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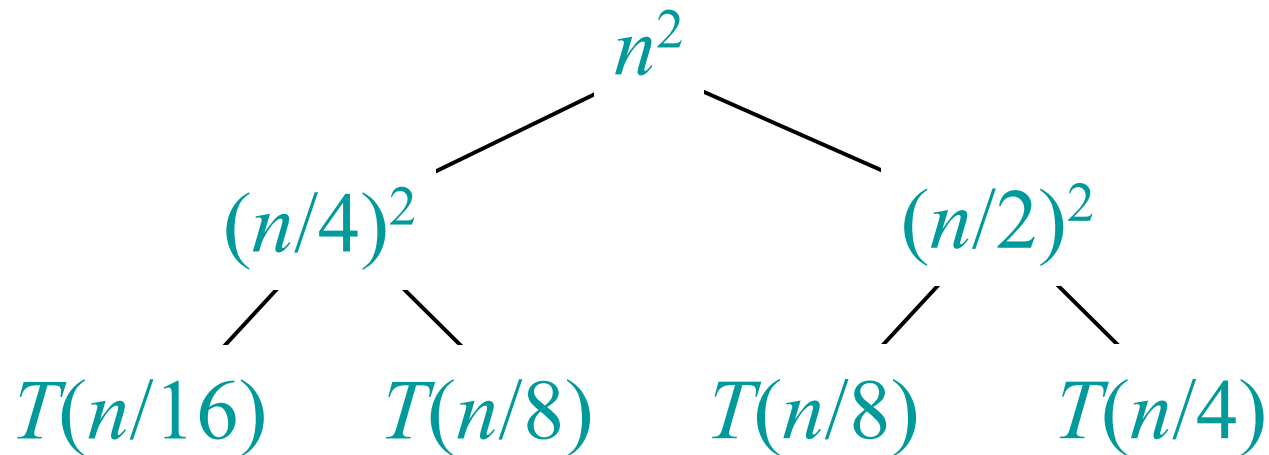
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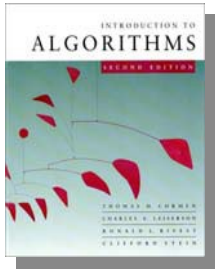




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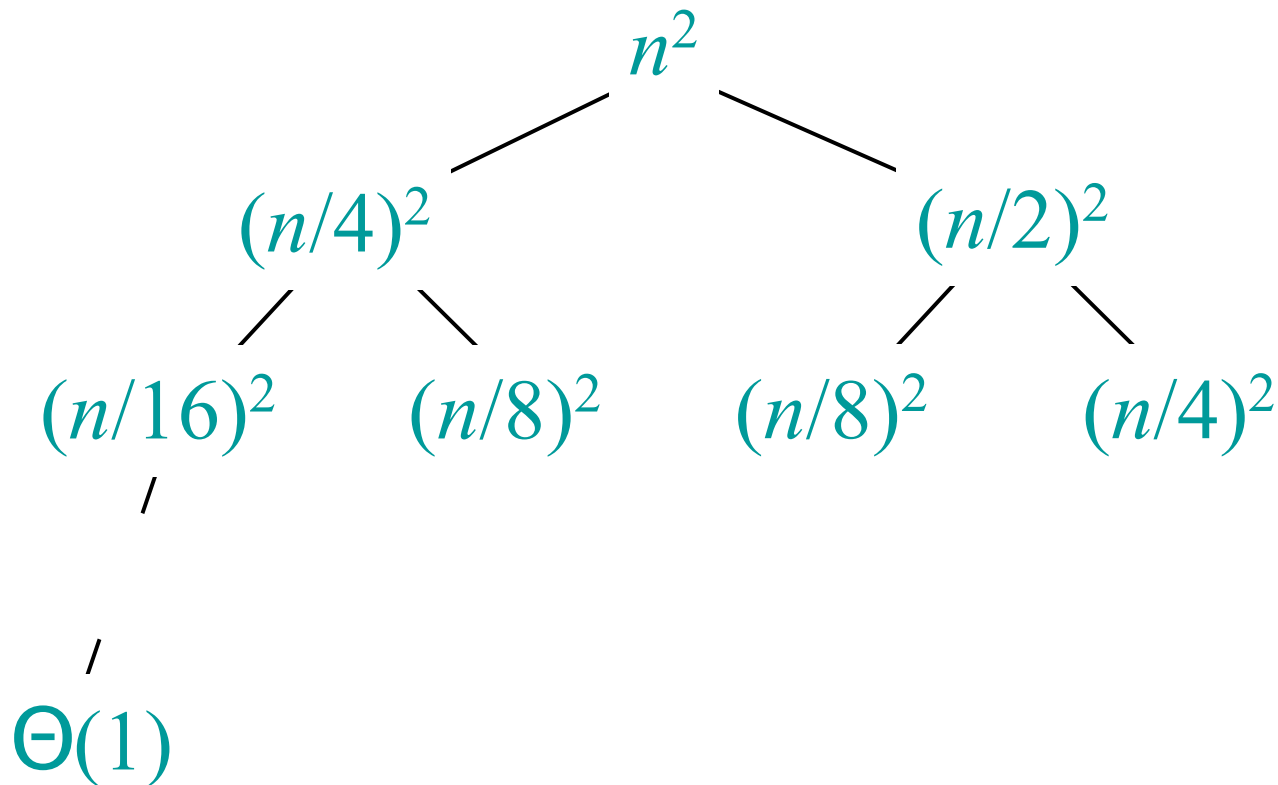
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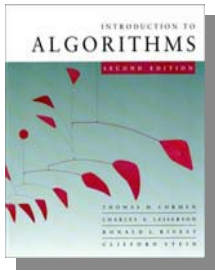




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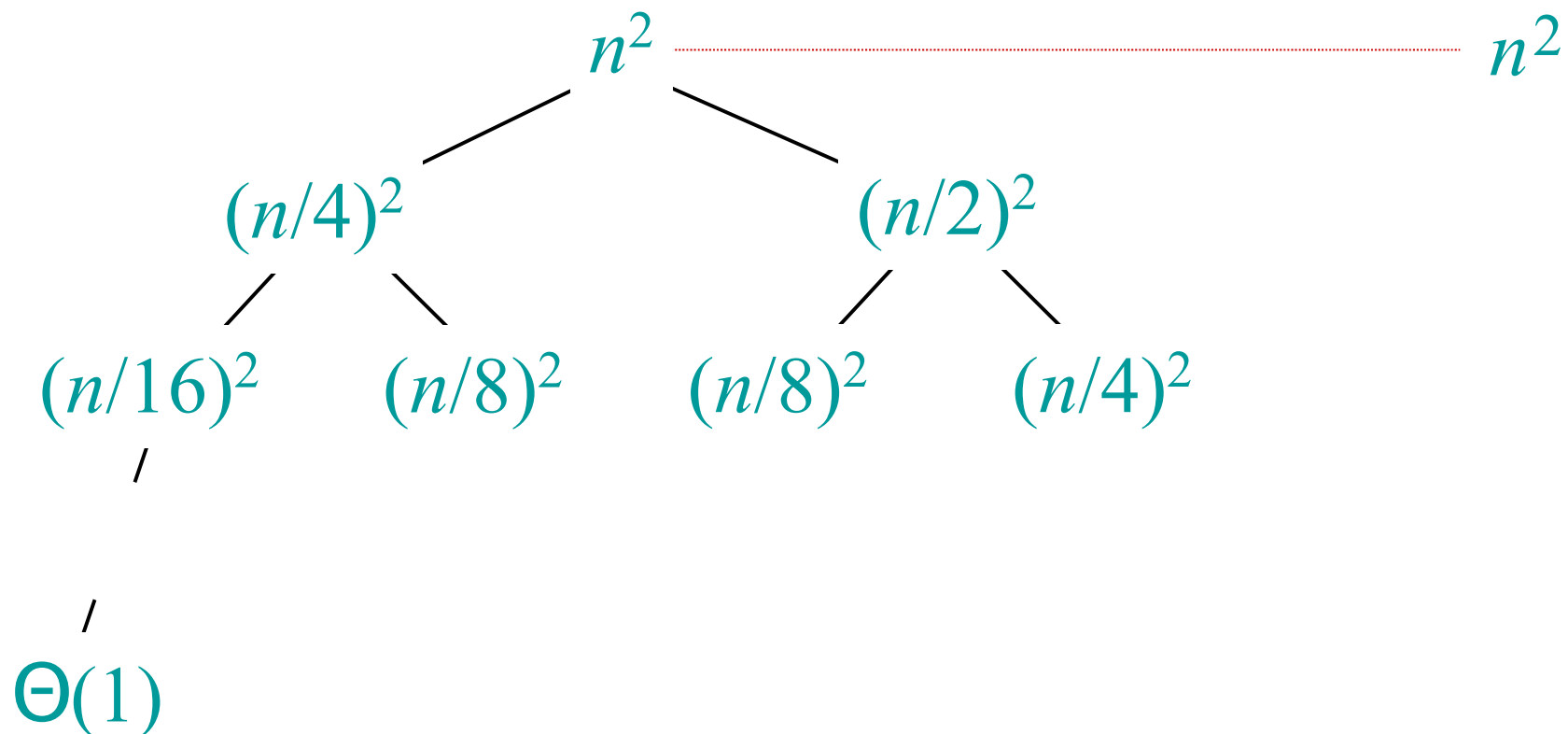
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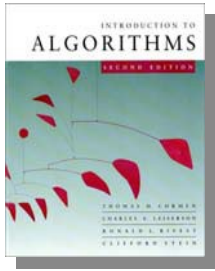




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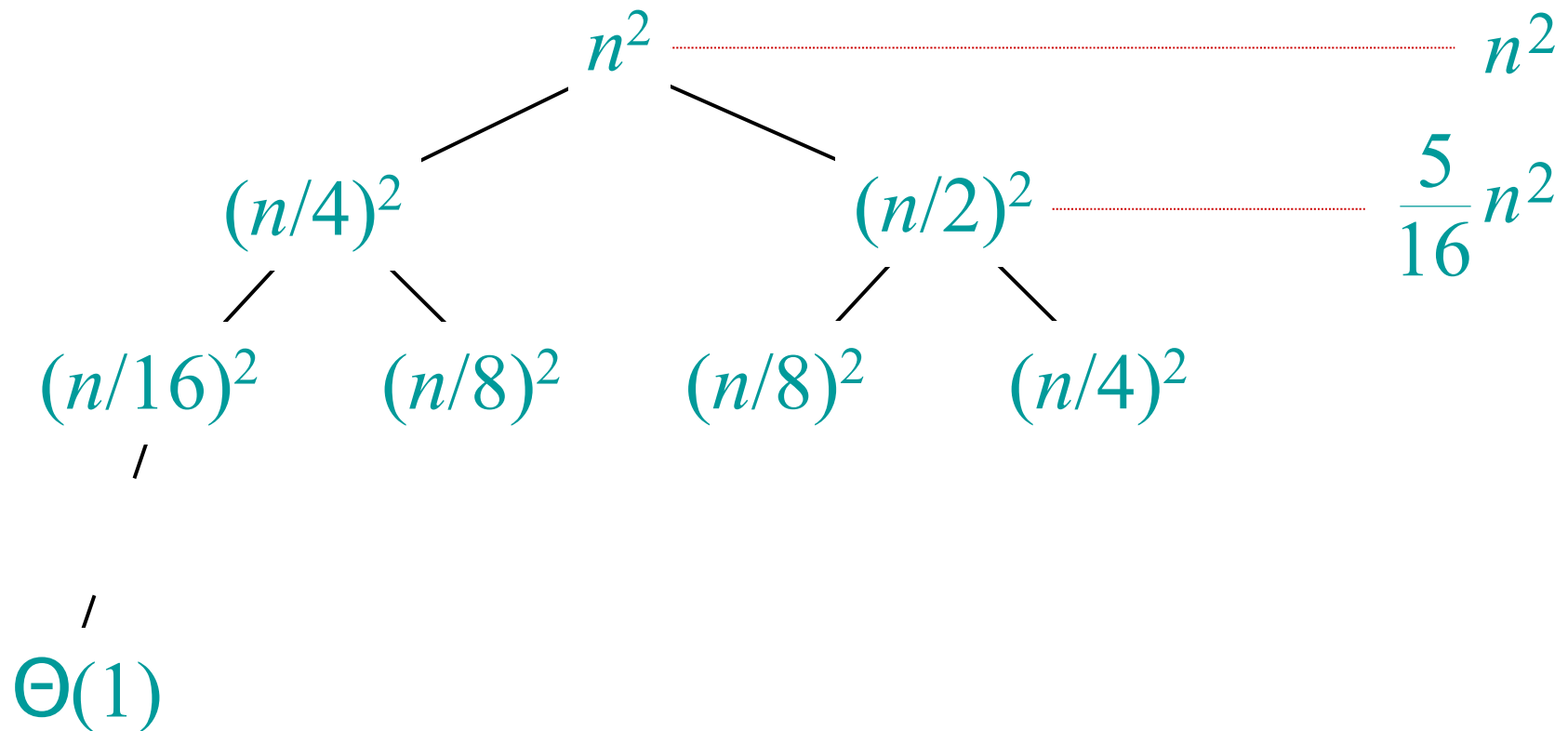
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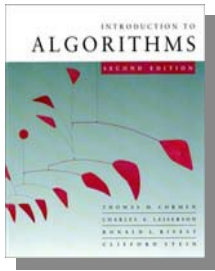




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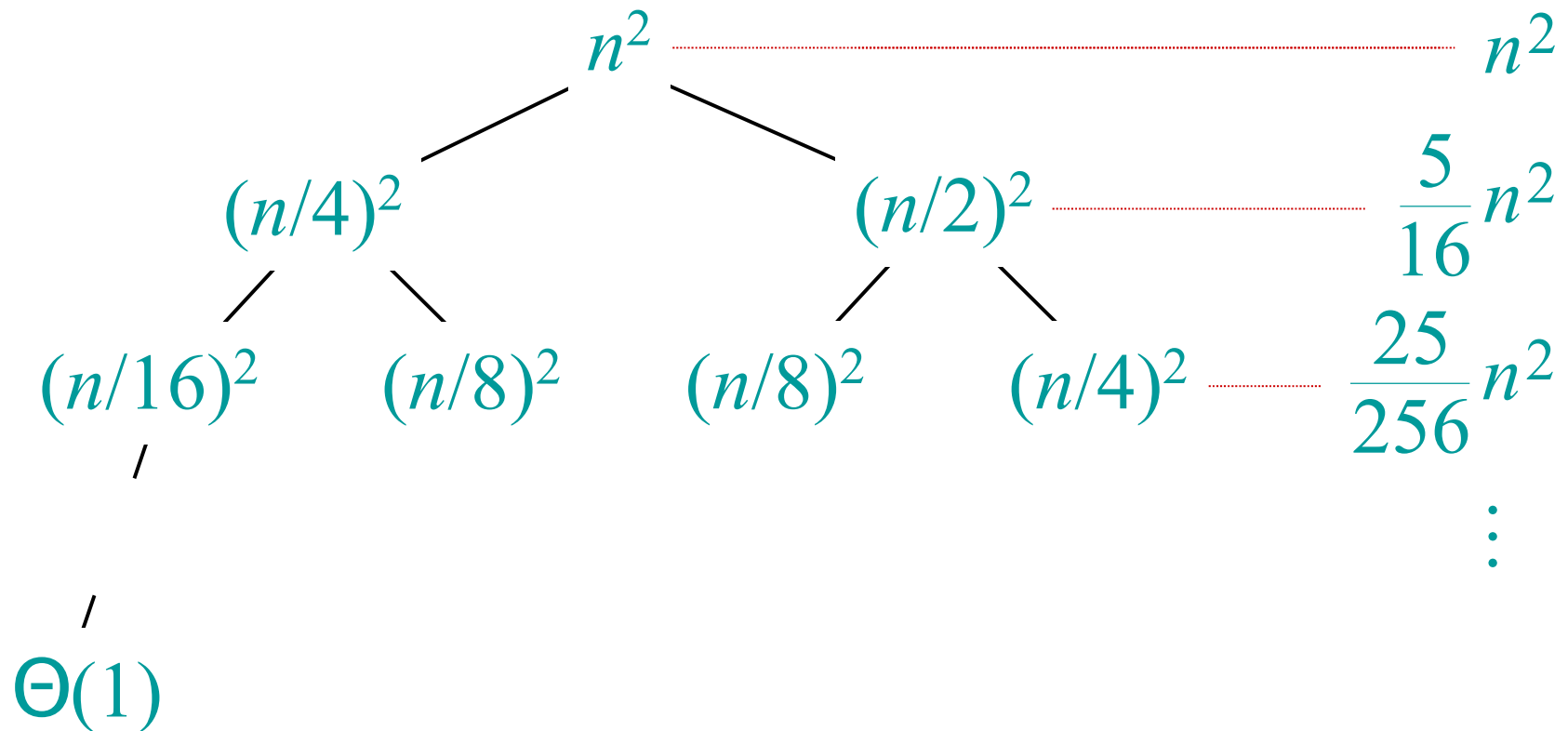
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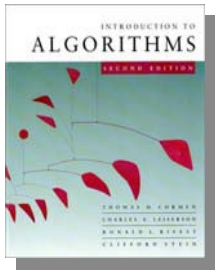




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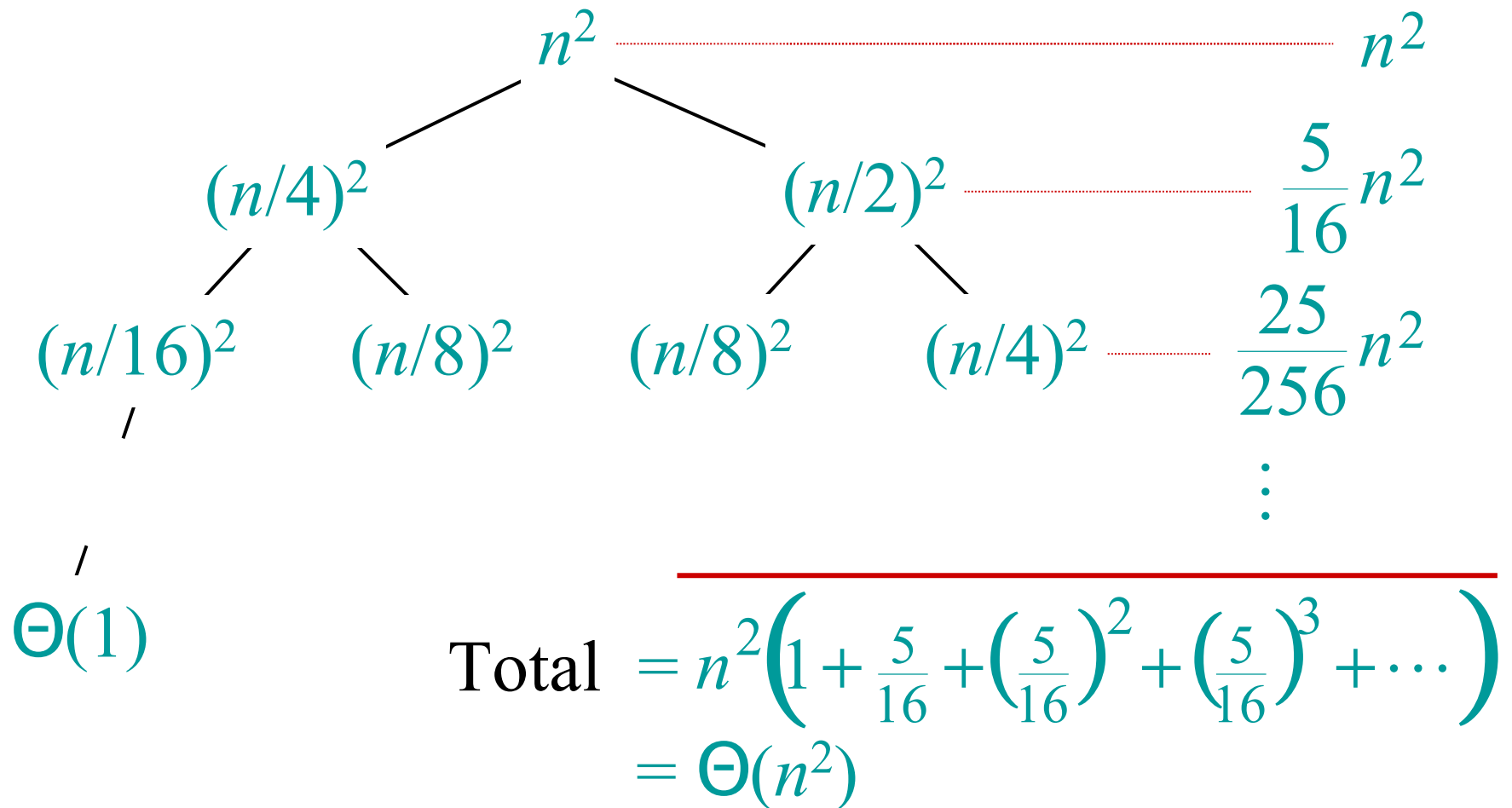
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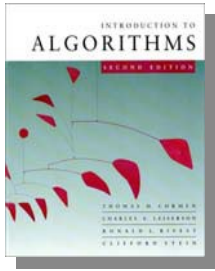




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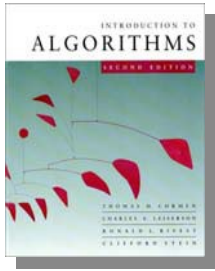


The master method

The master method applies to recurrences of the form

$$T(n) = aT(n/b) + f(n),$$

where $a \geq 1$, $b > 1$, and f is asymptotically positive.



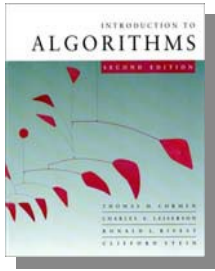
Three common cases

Compare $f(n)$ with $n^{\log_b a}$:

1. $f(n) = O(n^{\log_b a - \epsilon})$ for some constant $\epsilon > 0$.

- $f(n)$ grows polynomially slower than $n^{\log_b a}$ (by an n^ϵ factor).

Solution: $T(n) = \Theta(n^{\log_b a})$.



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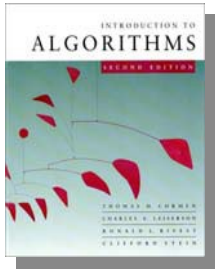
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Solution: $T(n) = \Theta(n^{\log_b a})$.

2. $f(n) = \Theta(n^{\log_b a} \lg^k n)$ for some constant $k \geq 0$.

- $f(n)$ and $n^{\log_b a}$ grow at similar rates.

Solution: $T(n) = \Theta(n^{\log_b a} \lg^{k+1} n)$.



Three common cases (cont.)

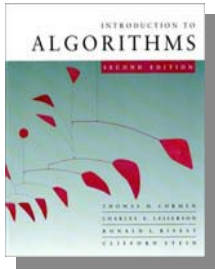
Compare $f(n)$ with $n^{\log_b a}$:

3. $f(n) = \Omega(n^{\log_b a + \epsilon})$ for some constant $\epsilon > 0$.

- $f(n)$ grows polynomially faster than $n^{\log_b a}$ (by an n^ϵ factor),

and $f(n)$ satisfies the **regularity condition** that $af(n/b) \leq cf(n)$ for some constant $c < 1$.

Solution: $T(n) = \Theta(f(n))$.



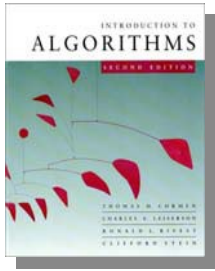
Examples

Ex. $T(n) = 4T(n/2) + n$

$$a = 4, b = 2 \Rightarrow n^{\log_b a} = n^2; f(n) = n.$$

CASE 1: $f(n) = O(n^{2-\epsilon})$ for $\epsilon = 1$.

$$\therefore T(n) = \Theta(n^2).$$



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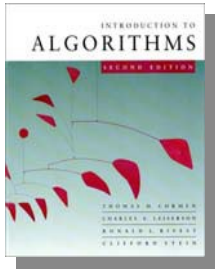
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CASE 2: $f(n) = \Theta(n^2 \lg^0 n)$, that is, $k = 0$.

$$\therefore T(n) = \Theta(n^2 \lg n).$$



Examples

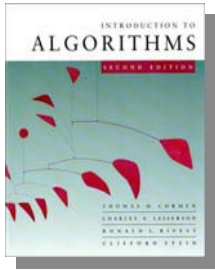
Ex. $T(n) = 4T(n/2) + n^3$

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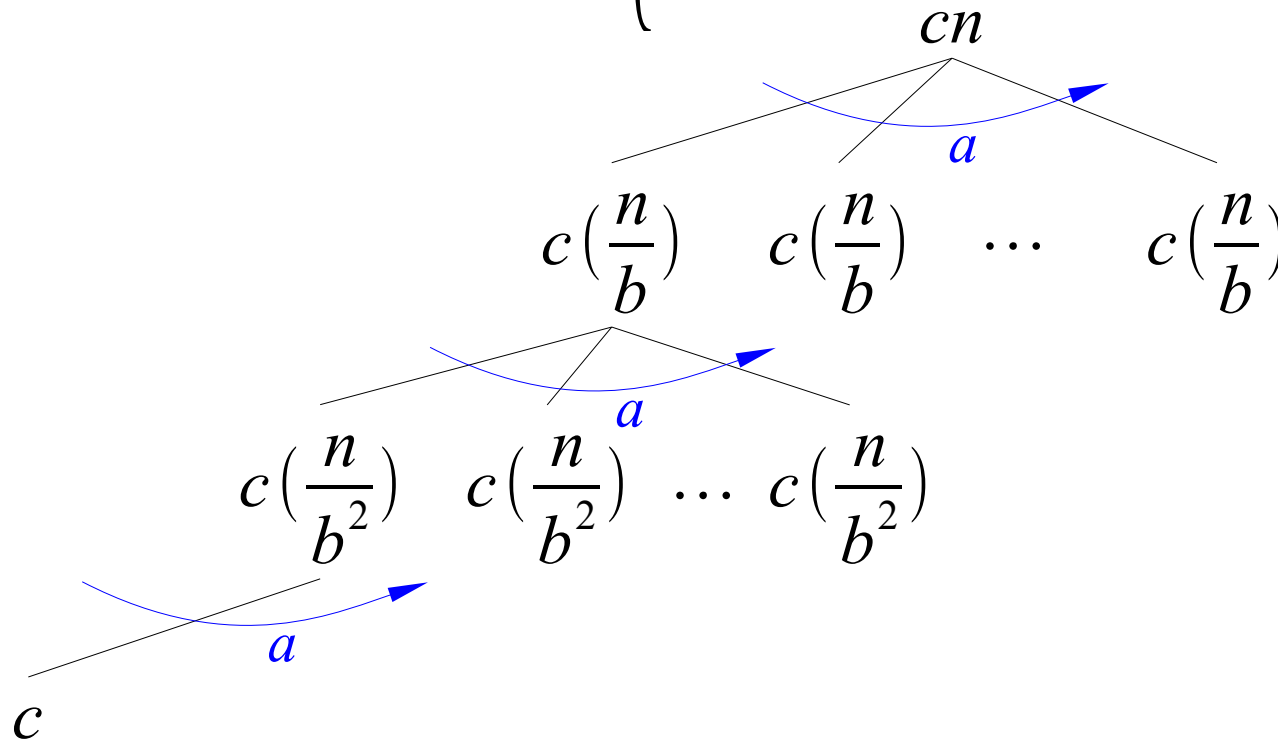
Ex. $T(n) = 4T(n/2) + n^2/\lg n$

$$a = 4, b = 2 \Rightarrow n^{\log_b a} = n^2; f(n) = n^2/\lg n.$$

Master method does not apply. In particular, for every constant $\epsilon > 0$, we have $n^\epsilon = \omega(\lg n)$.

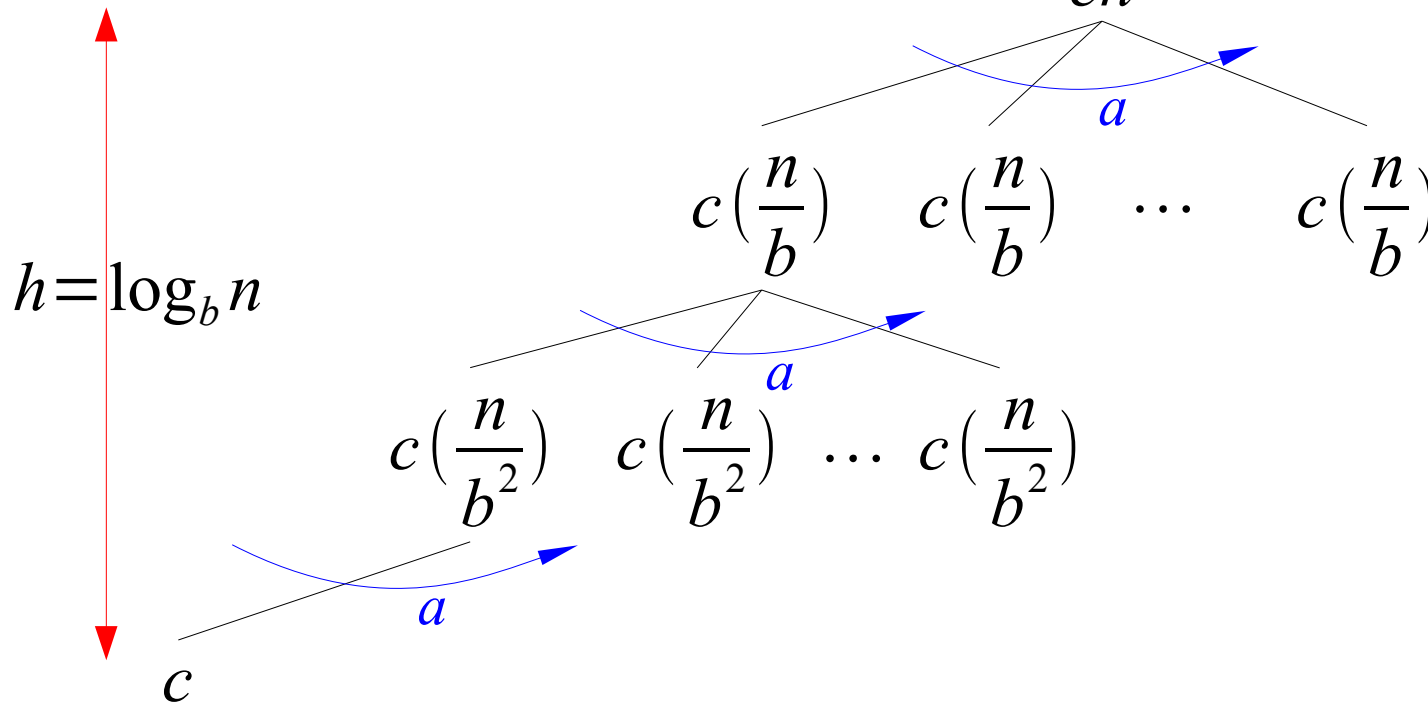
Intuition of Master Theorem

$$T(n) = \begin{cases} f(n) = cn & n=1 \\ aT\left(\frac{n}{b}\right) + cn & n>1 \end{cases}$$



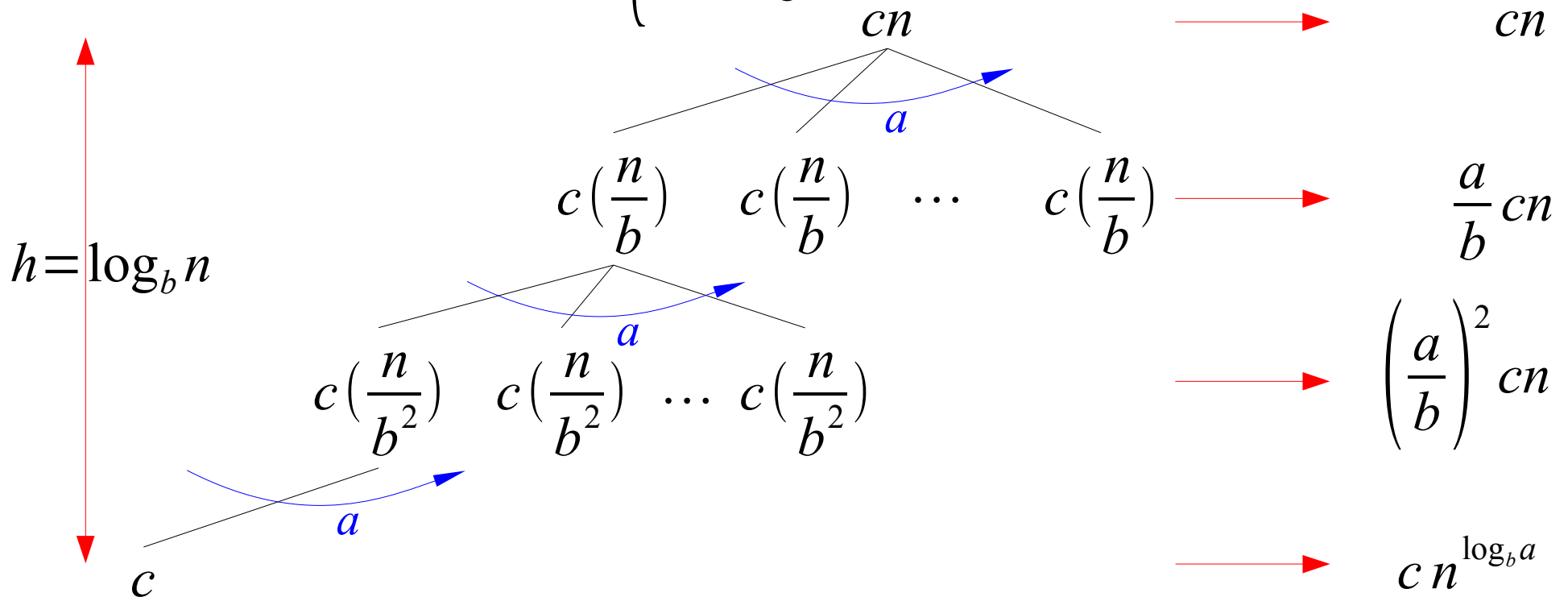
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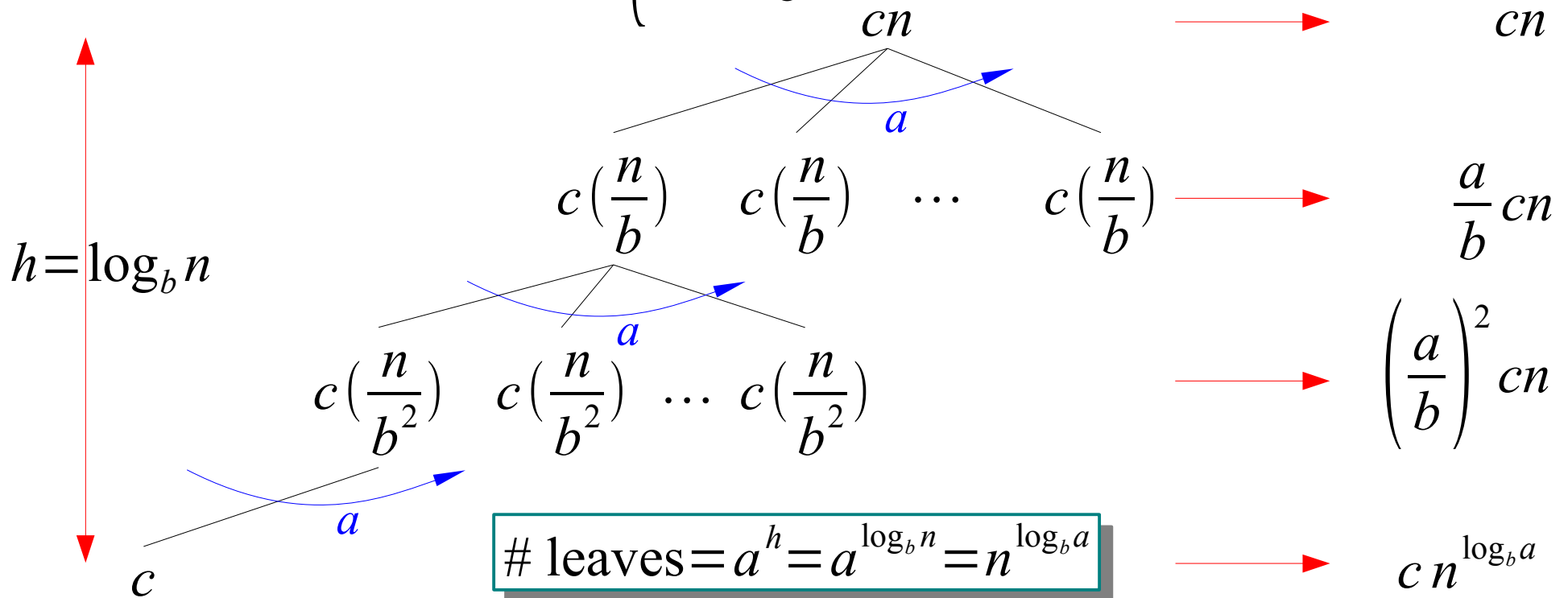
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Intuition of Master Theorem

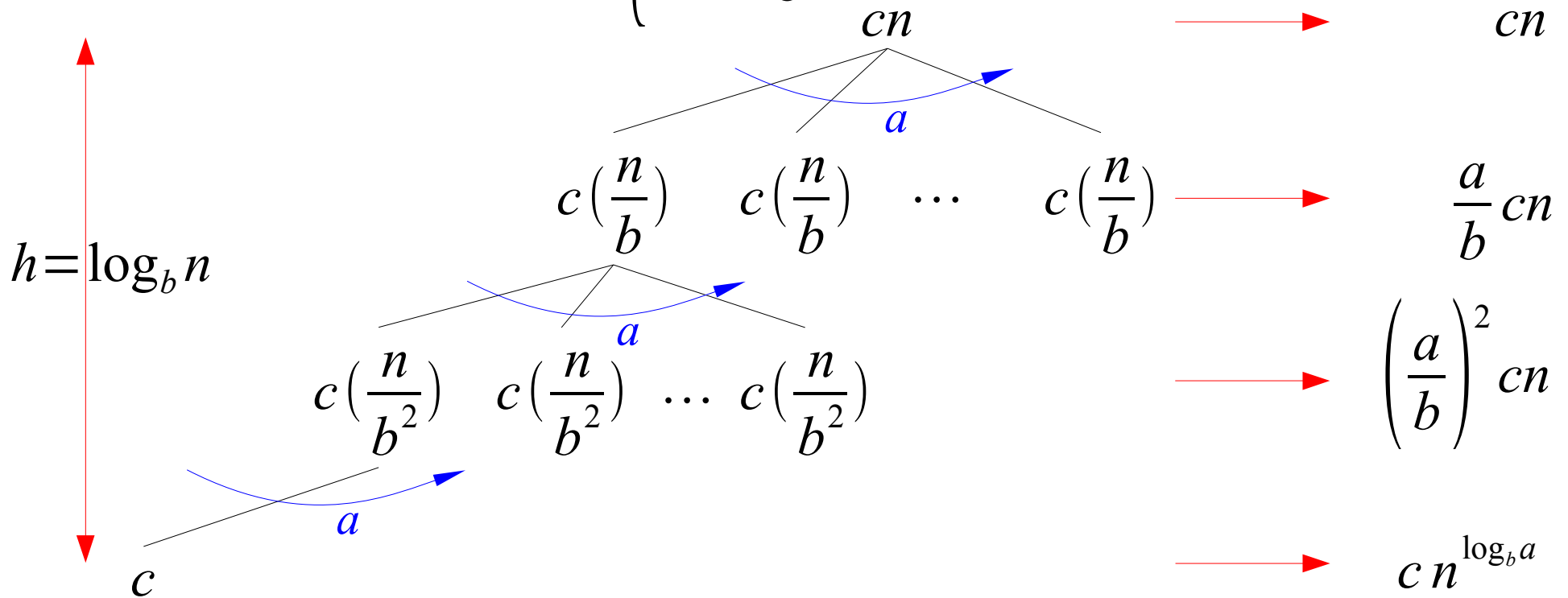
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How? $a^{\log_b n} = b^{\log_b (a^{\log_b n})} = b^{\log_b n \log_b a} = \left(b^{\log_b n}\right)^{\log_b a} = n^{\log_b a}$

Intuition of Master Theorem

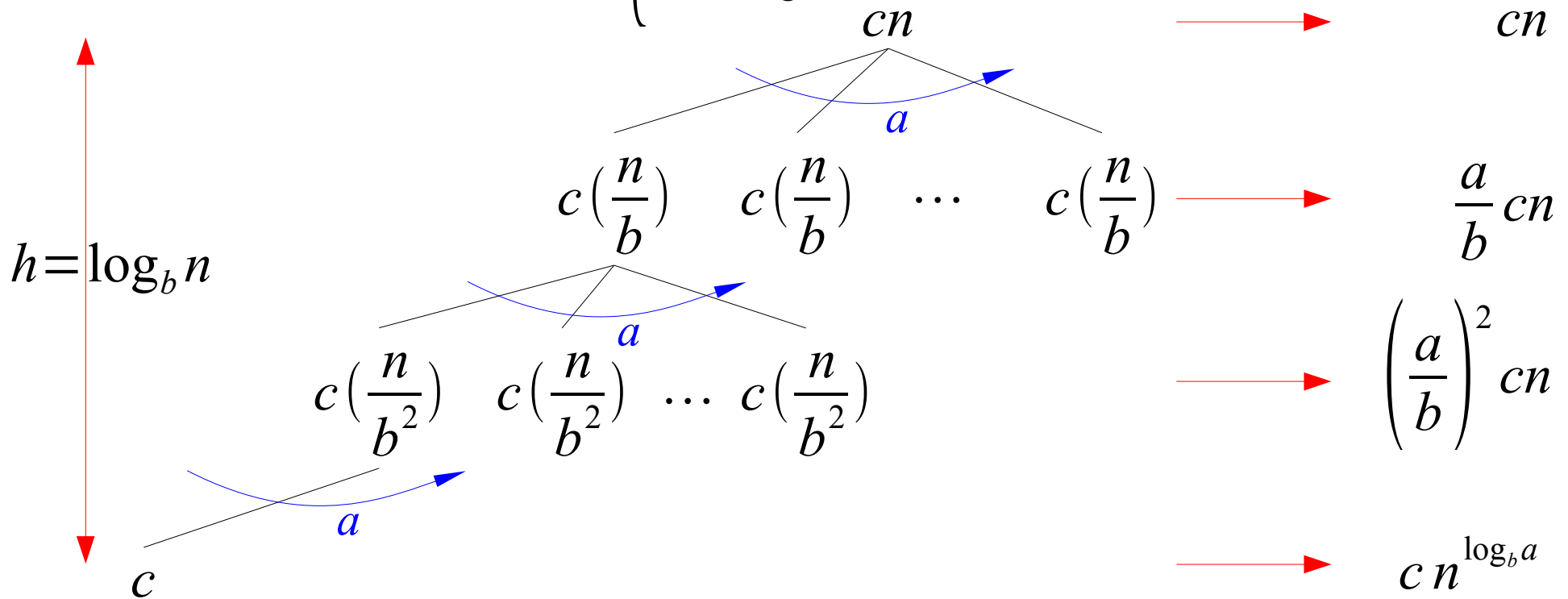
$$T(n) = \begin{cases} f(n) = cn & n=1 \\ aT\left(\frac{n}{b}\right) + cn & n>1 \end{cases}$$



$$T(n) = cn \sum_{k=0}^{\log_b n} \left(\frac{a}{b}\right)^k = cn \frac{1 - (a/b)^{\log_b n + 1}}{1 - a/b}$$

Intuition of Master Theorem

$$T(n) = \begin{cases} cn & n=1 \\ aT\left(\frac{n}{b}\right) + cn & n>1 \end{cases}$$



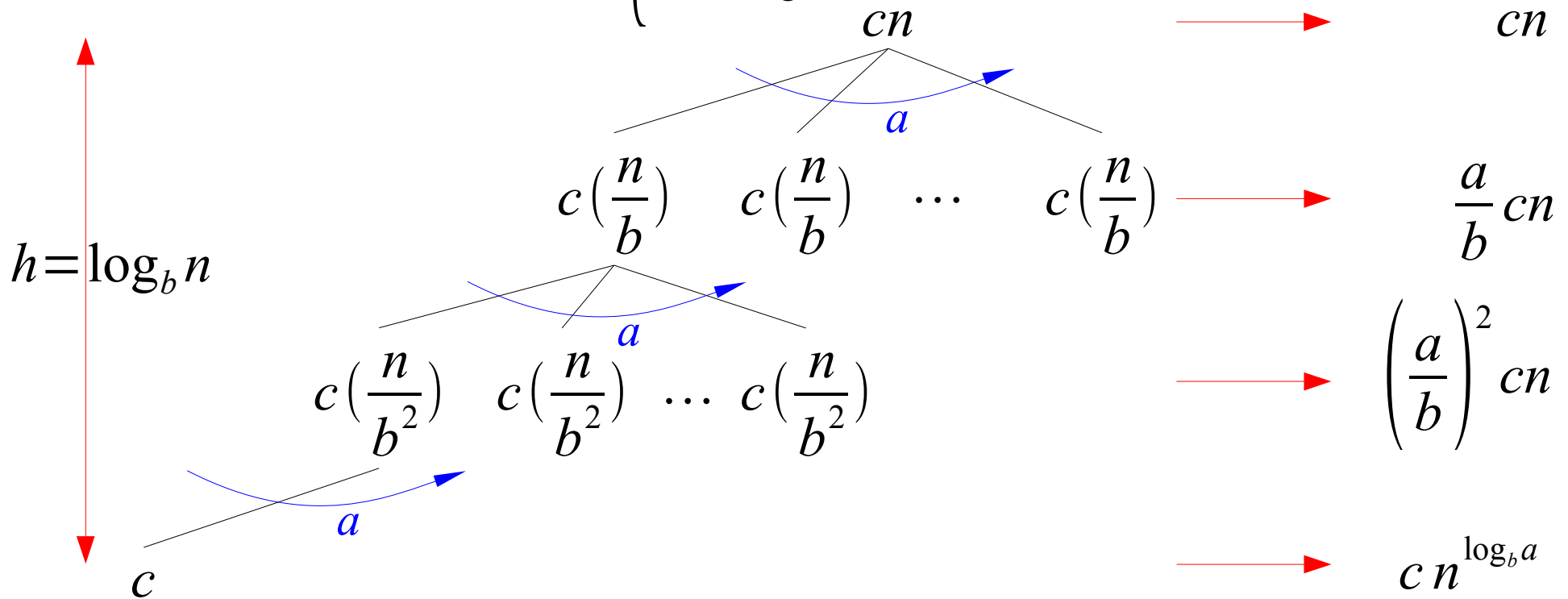
Case 1: $a > b$

Weights are increasing downwards

$$T(n) = cn \frac{1 - (a/b)^{\log_b n + 1}}{1 - a/b} = \Theta(n^{\log_b a})$$

Intuition of Master Theorem

$$T(n) = \begin{cases} cn & n=1 \\ aT\left(\frac{n}{b}\right) + cn & n>1 \end{cases}$$



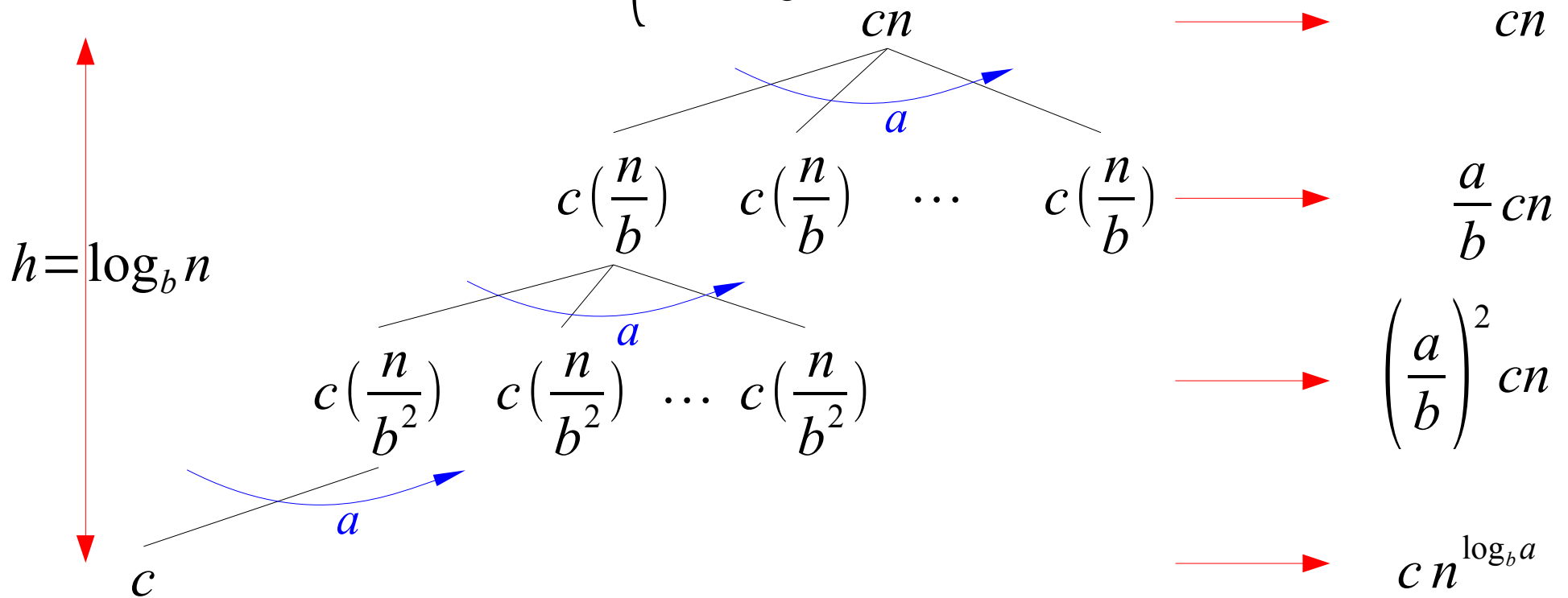
Case 2: $a = b$

Weights are equal across levels

$$T(n) = cn \frac{1 - \left(\frac{a}{b}\right)^{\log_b n + 1}}{1 - a/b} = \Theta(n \log_b n)$$

Intuition of Master Theorem

$$T(n) = \begin{cases} f(n) = cn & n=1 \\ aT\left(\frac{n}{b}\right) + cn & n>1 \end{cases}$$



Case 3: $a < b$

Weights are decreasing downwards

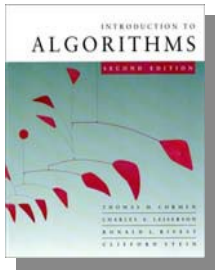
$$T(n) = cn \frac{1 - \left(\frac{a}{b}\right)^{\log_b n + 1}}{1 - a/b} = \Theta(n)$$

Intuition of Master Theorem

Summary

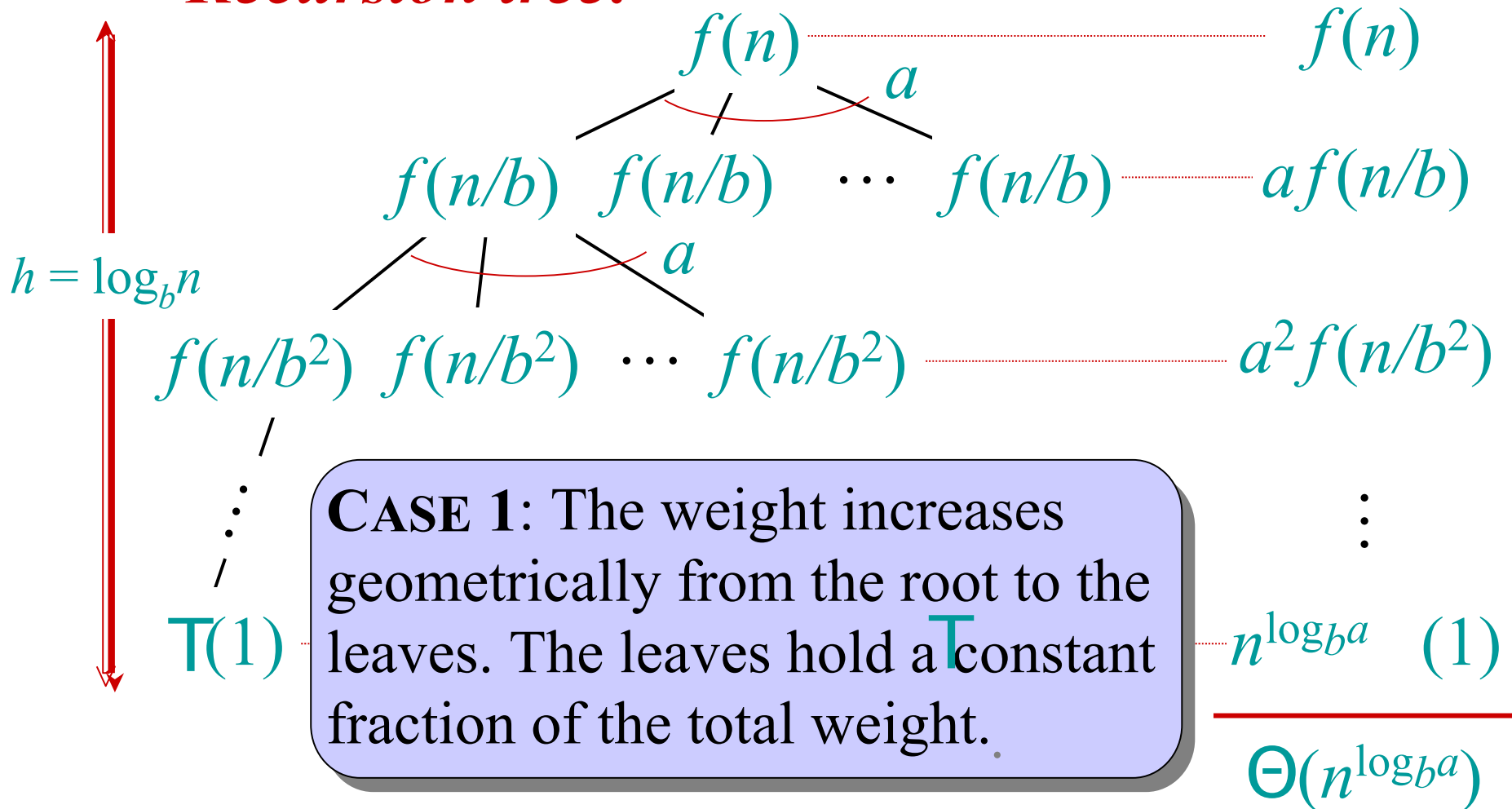
$$T(n) = \begin{cases} f(n) = cn & n=1 \\ aT\left(\frac{n}{b}\right) + cn & n>1 \end{cases}$$

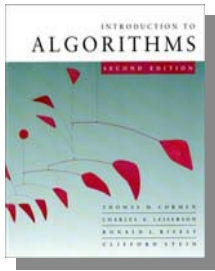
$$T(n) = \begin{cases} \Theta(n) & , a < b \\ \Theta(n \log_b n) & , a = b \\ \Theta(n^{\log_b a}) & , a > b \end{cases}$$



Idea of master theorem

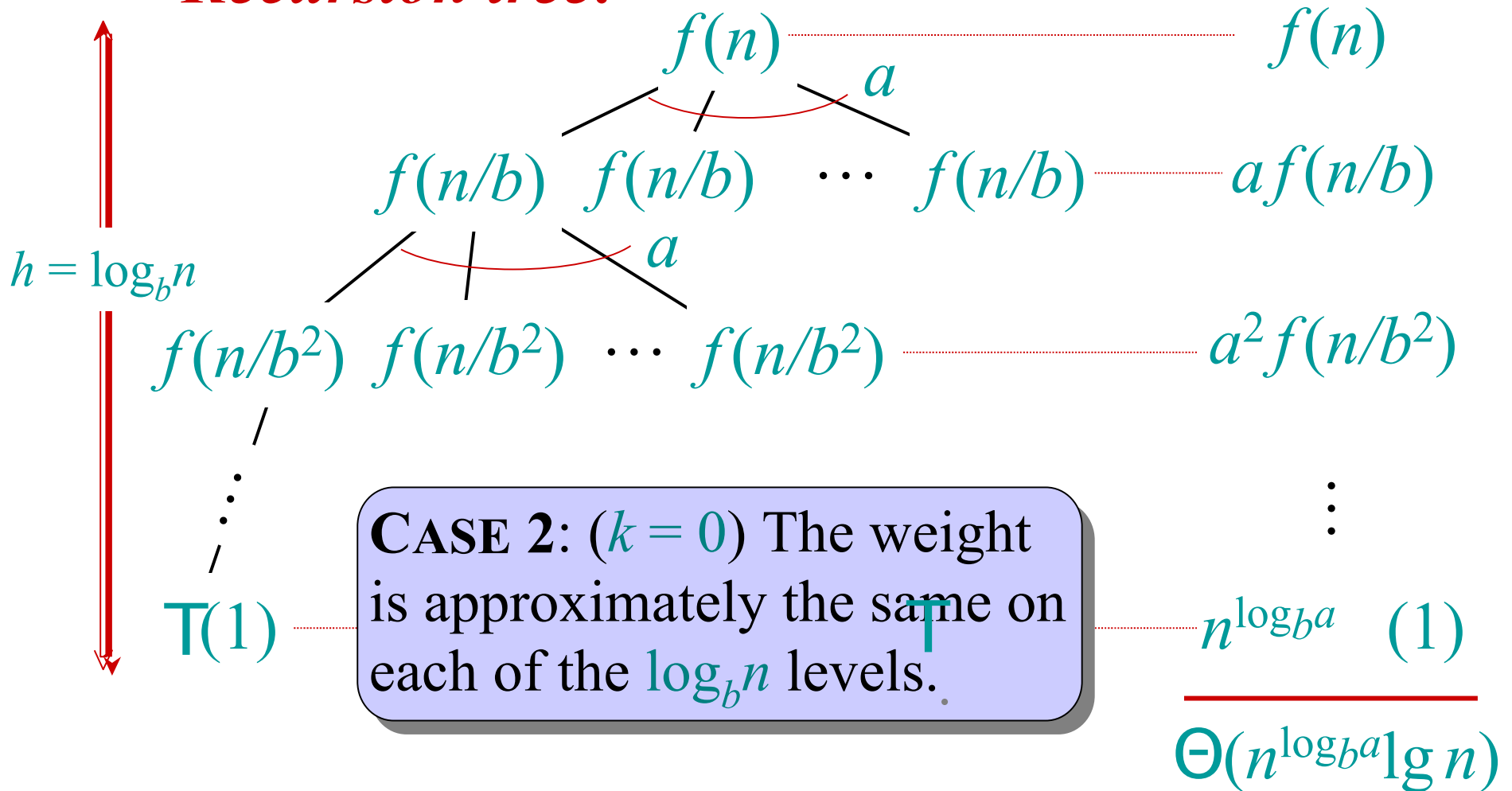
Recursion tree:

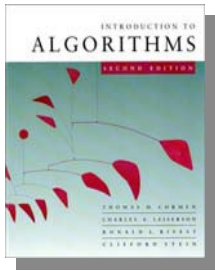




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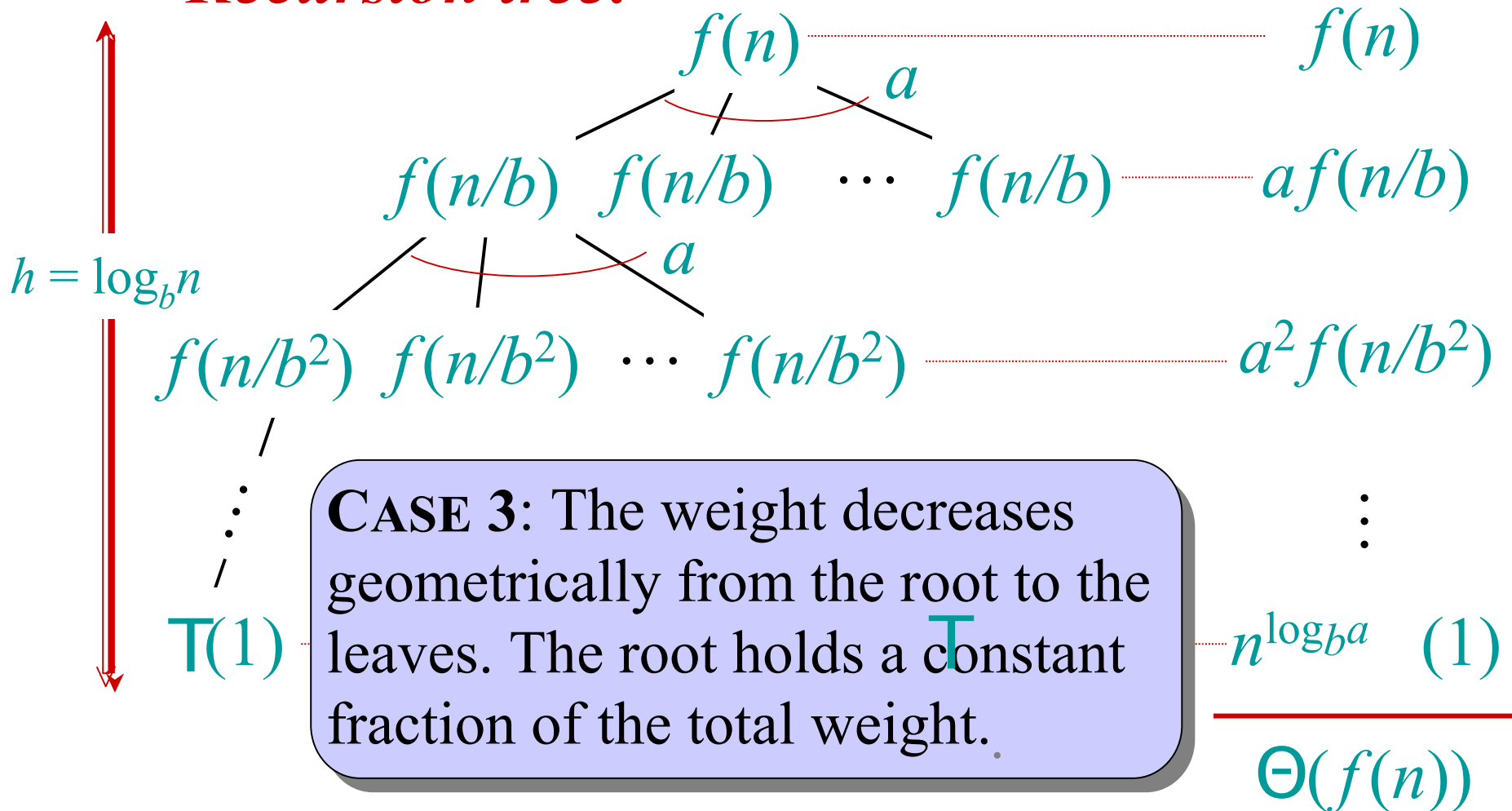
Recursion tree:

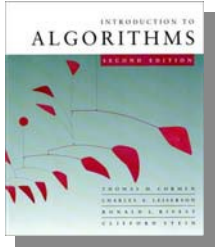




Idea of master theorem

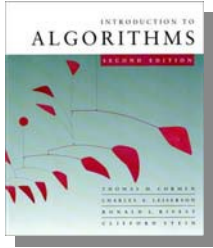
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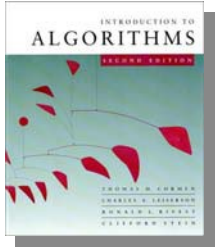
The divide-and-conquer design paradigm

1. *Divide* the problem (instance) into subproblems.
2. *Conquer* the subproblems by solving them recursively.
3. *Combine* subproblem solutions.



Merge sort

- 1. *Divide:*** Trivial.
- 2. *Conquer:*** Recursively sort 2 subarrays.
- 3. *Combine:*** Linear-time merge.



Merge sort

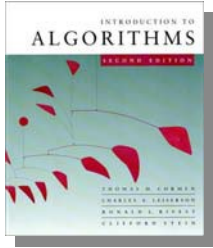
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$$T(n) = 2T(n/2) + \Theta(n)$$

subproblems

subproblem size

work dividing and combining



Master theorem (reprise)

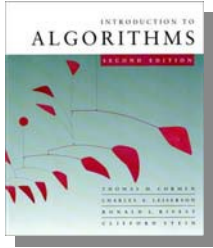
$$T(n) = a T(n/b) + f(n)$$

CASE 1: $f(n) = O(n^{\log_b a - \epsilon})$, constant $\epsilon > 0$
 $\Rightarrow T(n) = \Theta(n^{\log_b a})$.

CASE 2: $f(n) = \Theta(n^{\log_b a} \lg^k n)$, constant $k \geq 0$
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CASE 3: $f(n) = \Omega(n^{\log_b a + \epsilon})$, constant $\epsilon > 0$,
and regularity condition
 $\Rightarrow T(n) = \Theta(f(n))$.

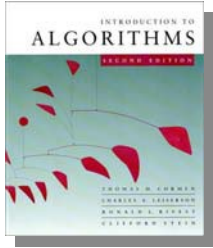
Merge sort: $a = 2, b = 2 \Rightarrow n^{\log_b a} = n^{\log_2 2} = n$
 \Rightarrow **CASE 2** ($k = 0$) $\Rightarrow T(n) = \Theta(n \lg n)$.



Binary search

Find an element in a sorted array:

- 1. *Divide:*** Check middle element.
- 2. *Conquer:*** Recursively search **1** subarray.
- 3. *Combine:*** Trivial.



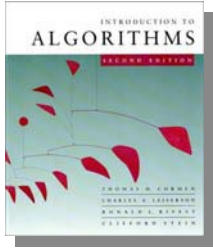
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Example: Find 9

3 5 7 8 9 12 15



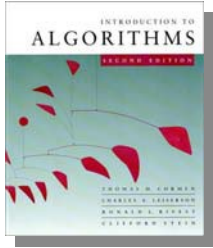
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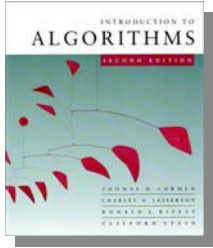
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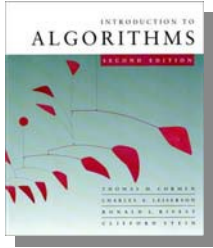
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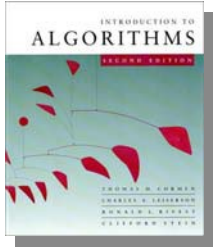
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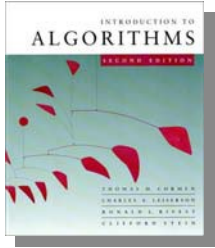
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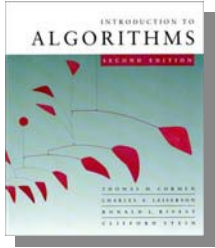
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Recurrence for binary search

$$T(n) = 1T(n/2) + \Theta(1)$$

subproblems *subproblem size* *work dividing and combining*

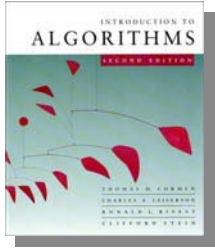


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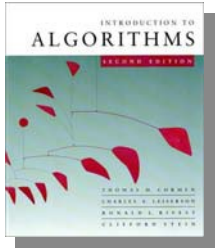
$$n^{\log_b a} = n^{\log_2 1} = n^0 = 1 \Rightarrow \text{CASE 2 } (k = 0)$$
$$\Rightarrow T(n) = \Theta(\lg n) .$$



Powering a number

Problem: Compute a^n , where $n \in \mathbb{N}$.

Naive algorithm: $\Theta(n)$.



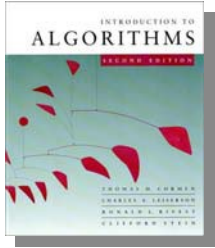
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$$T(n) = T(n/2) + \Theta(1) \Rightarrow T(n) = \Theta(\lg n).$$

Recap

- Asymptotic Notation
 - O -, Ω -, and Θ -notation
- Recurrences
 - Substitution Method
 - Recursion Tree
 - Master Theorem
- Divide-and-Conquer Examples
- Next:
 - Heapsort and Quicksort